NEVADA OCCUPATIONAL SAFETY AND HEALTH

REVIEW BOARD

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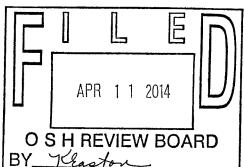
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Docket No. RNO 14-1684



Complainant,

VS.

SIERRA PACKAGING AND CONVERTING, LLC,

CHIEF ADMINISTRATIVE OFFICER

INDUSTRY, STATE OF NEVADA,

OF THE OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION, DIVISION OF INDUSTRIAL RELATIONS OF THE DEPARTMENT OF BUSINESS AND

Respondent.

DECISION

This matter came before the NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD at a hearing commenced on the 12th day of March, 2014, in furtherance of notice duly provided according to law. MS. SALLI ORTIZ, of the Complainant, Chief behalf appearing on ESQ., counsel Occupational Safety and Health Administrative Officer of the Administration, Division of Industrial Relations (OSHA). MR. TIMOTHY ROWE, ESQ., counsel appearing on behalf of Respondent, Sierra Packaging and Converting, LLC.

Jurisdiction in this matter has been conferred in accordance with Nevada Revised Statute 618.315.

The complaint filed by the OSHA sets forth allegations of violation of Nevada Revised Statutes as referenced in Exhibit "A", attached thereto. The alleged violation in Citation 1, Item 1, referenced 29 CFR 1910.132(f)(1)(iv).

The respondent employer was charged with a failure to provide

training to each employee required by the standard to use personal protective equipment (PPE).

Counsel for complainant presented testimony and evidence from Compliance Safety and Health Officer (CSHO) Jennifer Cox. The witness identified exhibits admitted in evidence by stipulation of counsel. Ms. Cox referenced her narrative report and described her investigation and findings at the respondent manufacturing site located in Stead, Nevada. On August 16, 2013 CSHO Cox and respondent personnel, Messrs. O'Grady and Tracy conducted "walk around inspection". During the inspection CSHO Cox observed employees standing on "racking" described as shelving-type assemblies upon which products were placed and stored. She observed employees standing on the racking without fall protection as confirmed in photographic exhibits at pages 41-A, B, C, and supplemented at photograph 42-A. The employees were identified by maintenance supervisor Tintinger as those of respondent.

CSHO Cox obtained witness statements from employees Gonzalez, Caal and Soto, respectively identified at complainant Exhibit 1, pp. 13, 14 and 15. Ms. Cox questioned the employees through the assistance of an interpreter employee of respondent. The three individuals admitted they were employees of the respondent.

Employee Caal signed a witness statement providing ". . . Steve (Tintinger) told . . . him . . . and employee Gonzalez to use fall protection (five point body harness and ladder). . ." to perform the work. See complainant Exhibit 1, p. 14. Mr. Soto informed CSHO Cox through an interpreter that he was trained in fall protection and instructed not to climb on the racks. Employee Gonzalez statement reflected he was not aware he could not climb on the racks.

Ms. Cox tested the subject employees' knowledge on training and the

use and limitations of a five point harness. The employees were unable to demonstrate basic knowledge, training, or understanding in the use and limitations of a five point harness. None of the subject employees knew the 5,000 lb anchor point limit; one advised he understood the weight limit to be 200 lbs. The subject employees could not demonstrate knowledge of the accepted fall distance of a lanyard to reflect understanding and training in the necessity of length adjustment to avoid hitting the ground. During continued inquiry, one employee briefly left and retrieved a five point harness. He informed Ms. Cox it was provided by the employer respondent. He demonstrated his limited understanding on use.

Ms. Cox met with five respondent management representatives to explain her findings as referenced in the report at Exhibit 1. She inquired if they had any knowledge of the fall distances required for a lanyard; none could respond. The employer representatives could not confirm or document employee knowledge or training in use of the five point harness.

CSHO Cox testified the cited standard was applicable under the facts in evidence. The employer furnished five point harness fall arrest PPE for employee use, but without the required training. Employees interviewed with access to the harnesses could not demonstrate basic knowledge in the use or limitations of the PPE or verify any training as required by the standard.

Ms. Cox found the employer management personnel could not demonstrate knowledge of harness use or limitations, including Mr. Tintinger, the maintenance supervisor in charge of the interviewed employees. No respondent representatives provided any evidence of employee fall arrest training in the harness PPE.

Ms. Cox testified employee interviews were difficult due to the language barrier and limited translation resources. She confirmed the witness statements were signed, but for that of Mr. Soto which was due to an oversight. She testified Mr. Soto informed her he had received training in the company safety policy, which included instructions that he was not supposed to stand on the racks.

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Ms. Cox concluded her direct testimony referencing her findings to support the classification of the violation as "Serious" in accordance with the operations manual and enforcement guidelines. She referenced her narrative report at Exhibit 1 accordingly.

Respondent presented witness testimony and referenced Exhibits A through D stipulated in evidence. Mr. David Hodges, the respondent safety manager, conducts employee training and works in conjunction with TMCC when additional expertise for specialized training is required. He testified respondent is in the manufacturing business and does not regularly experience fall protection issues, except for some limited maintenance work that generally requires only a ladder for access to points of employee work. The company does not provide any fall protection, PPE, or training. He testified that no employees require fall protection from racks because they are not permitted to work or stand on the racks in accordance with the company safety program. explained the discipline policy under the company safety program as consisting of a three point system: first verbal, second written and third termination. The company had only occupied the plant subject of the inspection at Stead approximately two weeks before the actual citations were issued; accordingly there was no time for a hazard assessment as done in their Sparks facility referenced at Exhibits C and D in evidence. The company safety rules prohibit employees climbing on the racks or anywhere; and such conduct is specifically addressed in the employer safety handbook at Exhibit A. He testified that if employees are required to work above ground level, they use ladders on wheels similar to the type seen at airports. Employees also utilize forklifts if materials are beyond floor height reach.

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On cross-examination Mr. Hodges testified fall protection is outside of his area of expertise and uses TMCC for any training when required. He further testified that only maintenance employees are required to have fall protection training because they are the only ones in the manufacturing facility who are required to sometimes work at heights.

identified himself the respondent as Tintinger Steve Mr. maintenance manager at the Stead plant facility. He testified the individuals observed and photographed on the racks were not permanent, but rather temporary employees; he had no involvement in their hiring. He never trained the subject employees in fall protection. employees were on the premises only to attach stabilizers to the racks that were inadvertently left out when reassembled at the new plant facility during the move in.

At the conclusion of evidence and testimony, both counsel presented closing argument.

Complainant argued the focal point of the citation and contested hearing is not necessarily that employees were standing or climbing on the racks; but based upon identified employees with access to safety harnesses having no fall protection training. Counsel asserted the evidence in Exhibit 1 and CSHO Cox testimony showed that an employee of respondent had a fall arrest harness provided by the employer but demonstrated no knowledge or training in use or limitations. The

respondent employees interviewed were furnished five point body harness and lanyard fall protection identified at Exhibit 1, page 16. Respondent maintenance supervisor Tintinger who was in charge of the subject employees testified he observed them on the plant premises at times with the harness fall protection equipment (PPE). Counsel argued the testimony and evidence proved the violation and confirmed the applicability of the cited standard, employee exposure through access, lack of training compliance, and employer knowledge. Counsel argued that regardless of any claims the employees were temporaries, they were in fact employed and issued fall protection by respondent without training on how to use it. Counsel asserted the entire case to be very simple based upon employees being furnished fall protection by the respondent employer without sufficient training or understanding on how to use the available PPE all in violation of the cited standard.

Respondent presented closing argument. Counsel argued it is disingenuous for complainant to take the position that the citation has nothing to do with the three employees standing on the racking without fall protection. He argued that by referencing the verbiage in the standard at 132(d)(1) it requires the employer assess the workplace and if there are hazards for fall protection then the employer shall train its employees in accordance with the standard. The respondent was only required to train employees when assigned work requires use of a harness. He asserted that was not the case presented by the facts in evidence. The employees on the racks were violating the company policy and engaged in misconduct. They were not allowed to climb onto the racking under company policy. The stabilizer repairs could have been done from ladders. There was no evidence the employees were assigned work that required fall protection and therefore no requirement for

training as charged by the standard.

Counsel argued there was no reliable proof of violation from the evidence contained in the translated statements. He asserted only one employee claimed he was issued the five point fall protection harness, but there was no witness testimony under oath, just an unverified translated statement.

Mr. Tintinger testified no employees were issued or instructed to use harnesses. He asserted the CSHO questions to the witnesses were confusing; and when one employee left and retrieved a harness he thought he was simply doing what he was supposed to do without understanding the implications.

Counsel argued the employer is in the manufacturing business where fall protection is rarely required. The employer had no knowledge nor any reason to know the three individuals subject of the photographs and observations of CSHO Cox were working without fall protection while standing on the racks. The individuals were on the racking without fall protection but there was no evidence to indicate it was a regular part of the manufacturing business. The employer and management personnel had no reason to be aware or know that fall protection was necessary for the individuals.

The board in reviewing the facts, documents and testimony in evidence must measure same against the established law developed under the Occupational Safety & Health Act, Code of Federal Regulations (CFR) and Nevada Revised Statutes (NRS).

In all proceedings commenced by the filing of a notice of contest, the burden of proof rests with the Administrator. N.A.C. 618.788(1).

All facts forming the basis of a complaint must be proved by a preponderance of the evidence. Armor Elevator Co., 1 OSHC 1409, 1973-1974 OSHD ¶16,958

(1973).

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To prove a violation of a standard, the Secretary the applicability of the establish (1)existence of noncomplying (2) the conditions, (3) employee exposure or access, and (4) that the **employer knew** or with the exercise of reasonable diligence could have known of the (emphasis added) See Belger violative condition. Cartage Service, Inc., 79 OSAHRC 16/B4, 7 BNA OSHC 1233, 1235, 1979 CCH OSHD \$23,400, p.28,373 (No. 76-1948, 1979); Harvey Workover, Inc., 79 OSAHRC 72/D5, 7 BNA OSHC 1687, 1688-90, 1979 CCH OSHD 23,830, pp. 28,908-10 (No. 76-1408, 1979); American Wrecking Corp. v. Secretary of Labor, 351 F.3d 1254, 1261 (D.C. Cir. 2003). (emphasis added)

A respondent may rebut allegations by showing:

- The standard was inapplicable to the situation at issue;
- 2. The situation was in compliance; or lack of access to a hazard. See Anning-Johnson Co., 4 OSHC 1193, 1975-1976 OSHD \P 20,690 (1976). (emphasis added)

A "serious" violation is established upon a preponderance of evidence in accordance with NRS 618.625(2) which provides in pertinent part:

employment if there is a substantial probability that death or serious physical harm could result from a condition which exists or from one or more practices, means, methods, operations or processes which have been adopted or are in use at that place of employment unless the employer did not and could not, with the exercise of reasonable diligence, know the presence of the violation. (emphasis added)

29 CFR 1910.132(f)(1)(iv): The employer shall provide training to each employee who is required by this section to use personal protective equipment (PPE). Each such employee shall be trained to know the limitations of the PPE.

The testimony of CSHO Cox and exhibits in evidence established the elements to prove violation of the cited standard. The evidence demonstrated applicability to the standard, non-complying conditions,

employee exposure under the rule of access, and constructive employer
knowledge through supervisory personnel.

In addition to the unrebutted non-compliant conditions of employees standing on the racks in plain view without fall protection, the weight of credible evidence, direct and by inference, also established that at least three employees of respondent had access to the five point safety harness and were constructively exposed to potential fall hazards from untrained use. The subject employees simply could not demonstrate understanding and limitations of use, nor verify any training. The respondent maintenance supervisor responsible for the three interviewed employees could not demonstrate understanding in the use of the five point harness. How could he manage and assure the employees under his control, performing non-manufacturing maintenance work with access to the harnesses, and whom he previously observed wearing them, were compliant with OSHA standards and company safety policies?

Respondent asserts the defense of lack of applicability of the standard to the facts in evidence because there was no proof the employees were specifically instructed to engage in tasks requiring the harnesses. Counsel also asserts a defense of unforeseeable employee misconduct. However there was insufficient proof to support the defenses.

The board finds the testimonial and documentary evidence presented by and through CSHO Cox was credible and established the violation cited at Citation 1, Item 1. The testimony of respondent maintenance supervisor Tintinger and safety manager Hodges, and the witness statements supported the evidence of violation.

APPLICABILITY

The standard was applicable because the identified employees were

employer without training on use. The preponderance of evidence established that three employees of respondent, Messrs. Gonzalez, Caal and Soto were assigned a non-manufacturing work task by their supervisor Steven Tintinger to attach stabilizers to racking fixtures which extended to approximately 15 feet in height. They were not wearing any fall protection when observed and photographed by CSHO Cox. Mr. Tintinger, the maintenance supervisor, did not supervise the employees performing the maintenance type work. There was no evidence anyone supervised the work of the identified employees. The assigned tasks for racking work required some height exposure controlled by the standards governing use of a fall protection system. The employees had access to "five point fall protection harnesses" furnished by the respondent. There was no evidence of training in the harness PPE.

Mr. Tintinger testified he observed the identified employees on the plant premises at times with fall protection equipment (PPE). He did not train the employees nor could he verify or document their training on use or limitations of the fall protection harnesses. Mr. Tintinger had **knowledge** of use of the fall protection harness by employees under his supervision yet never provided, reviewed nor confirmed their training.

The unsupported testimony of Mr. Tintinger did not rebut that of CSHO Cox, the employee witness statements and the facts in evidence. The employees had access to safety harnesses made available to them by the respondent without any respondent training on use, limitations or understanding of the system.

Mr. Hodges testified that maintenance employees require fall protection training. Mr. Tintinger was the maintenance employee

supervisor and in charge of the three subject employees furnished fall arrest PPE without training. The employees were not performing manufacturing tasks but rather maintenance type work to correct the racking fixtures. The respondent did not complete a hazard assessment because it only moved into the facility two weeks prior to the inspection.

EMPLOYEE EXPOSURE

Employee exposure can be based on preponderant evidence of direct exposure to a hazard or through the rule of access.

Recognized Occupational Safety and Health Law provides there need be no showing of actual exposure in favor of a rule of access based upon reasonable predictability. Gilles & Cotting, Inc., 3 OSHC 2002, 1975-1976 OSHD ¶ 20,448 (1976); Cornell & Company, Inc., 5 OSHC 1736, 1977-1978 OSHD ¶ 22,095 (1977); Brennan v. OSAHRC and Alesea Lumber Co., 511 F.2d 1139 (9th Cir. 1975); General Electric Company v. OSAHRC and Usery, 540 F.2d 67, 69 (2d Cir. 1976). (emphasis added)

Actual knowledge (of employee exposure to violative conditions) is not required for a finding of a serious violation. Foreseeability and preventability render a violation serious provided that a reasonably prudent employer, i.e., one who is safety conscious and possesses the technical expertise normally expected in the industry concerned, would know of the danger. Candler-Rusche, Inc., 4 OSHC 1232, 1976-1977 OSHD ¶ 20,723 (1976), appeal filed, No. 76-1645 (D.C. Cir. July 16, 1976); Rockwell International, 2 OSHC 1710, 1973-1974 OSHD ¶ 16,960 (1973), aff'd, 540 F.2d 1283 (6th Cir. 1976); Mountain States Telephone & Telegraph Co., 1 OSHC 1077, 1971-1973 OSHD ¶ 15,365 (1973).

UNPREVENTABLE/UNFORESEEABLE EMPLOYEE MISCONDUCT

Respondent did not meet the burden of proof for the recognized defense of unpreventable or unforeseeable employee misconduct.

During interviews, the employees demonstrated **no knowledge or**training on the safe and/or appropriate use and limitations of the five

point harness system. The employees had access to harnesses made available to them by respondent and were exposed to the serious potential fall hazards of utilizing a five point harness without training. The employer knew by imputation through supervisory employees Tintinger and Hodges, or with the exercise of reasonable diligence could have known, of the violative conditions. Mr. Tintinger assigned the employees a work task but did not supervise them. He was aware they had access to harnesses. He did not provide any training or oversight to assure the employees would perform the assigned worktask in a safe manner according to company policy; or that the employees might undertake any tasks where the accessible furnished harnesses could be utilized.

Respondent maintenance manager Tintinger testified at page 78, line 16 through page 79, line 8 that he knew the employees identified in the photographs had PPE fall protection. He had seen them with the fall protection harness. He did not train them in fall protection, nor have any idea who had done so. He instructed the employees to perform the maintenance work task to attach stabilizers to the racks, but did not supervise how they would perform the work.

Respondent safety manager, Mr. David Hodges, testified ". . . maintenance employees require fall protection."

The complainant met the burden of proof to establish the cited violation, however the employer did not satisfy the legal burden to prove the necessary elements of the unpreventable, or unforeseeable employee misconduct defense by a preponderance of evidence. This board relies upon long established Federal and OSHRC case law providing that for an employer to prevail on the defense of unpreventable or unforeseeable employee misconduct, it must meet its burden of proof by

a preponderance of evidence that despite established safety policies in a safety program which is **effectively communicated and enforced**, the conduct of its employees in violating the policy was **unforeseeable**, **unpreventable** or an isolated event.

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An employer has the affirmative duty to anticipate and protect against preventable hazardous conduct by employees. Leon Construction Co., 3 OSHC 1979, 1975-1976 OSHD \P 20,387 (1976).misbehavior, standing alone, does not relieve an employer. Where the Secretary shows the existence of violative conditions, an employer may defend by the employee's behavior showing that deviation from a uniformly and effectively enforced work rule, of which deviation the employer had neither actual nor constructive knowledge. A. J. McNulty & Co., Inc., 4 OSHC 1097, 1975-1976 OSHD ¶ 20,600 (1976). (emphasis added)

". . . (A) supervisor's knowledge of deviations from standards . . . is properly imputed to the respondent employer. ." Division of Occupational Safety and Health vs. Pabco Gypsum, 105 Nev. 371, 775 P.2d 701 (1989). (emphasis added)

Evidence that the employer effectively communicated and enforced safety policies to protect against the hazard permits an inference that the employer justifiably relied on its employees to comply with the applicable safety rules and that violations of these safety policies were not **foreseeable or preventable**. Austin Bldg. Co. v. Occupational Safety & Health Review Comm., 647 F.2d 1063, 1068 (10th Cir. 1981). (emphasis added)

When an employer proves that it has effectively communicated and enforced its safety policies, serious citations are dismissed. See Secretary of Labor v. Consolidated Edison Co., 13 O.S.H. Cas. (BNA) 2107 (OSHRC Jan. 11, 1989); Secretary of Labor v. General Crane Inc., 13 O.S.H. Cas. (BNA) 1608 (OSHRC Jan. 19, 1988); Secretary of Labor v. Greer Architectural Prods. Inc., 14 O.S.H. Cas. (BNA) 1200 (OSHRC July 3, 1989).

While the employer demonstrated to the CSHO that respondent maintained general work rules and a safety program designed to prevent violative conduct, it offered insufficient proof of **effective enforcement** of fall arrest safety or training to avoid violation.

Respondent provided no evidence that it adequately communicated safety policies and rules to employees in its work practice for safely carrying out a job that may reasonably require use of a fall arrest system. Respondent did not demonstrate that it took meaningful steps to discover violations involving fall arrest protection which should have been observable by supervisory employees at the plant facility. The defense of unpreventable employee misconduct must fail because violative conditions were foreseeable, in plain view and reasonably preventable. Adequate communication and meaningfully enforced work rules would have prevented the violative conditions and the citations. See Jensen Construction Co., 7 OSHC 1477, 1979 OSHD ¶23,664 (1979). Accord, Marson Corp., 10 OHSHC 2128, 1980 OSHC 1045 ¶24,174 (1980).

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cases make clear the existence of an the unforeseeable employer's defense for disobedience of an employee who violates the However, the disobedience specific duty clause. defense will fail if the employer does not effectively communicate and conscientiously enforce the safety program at all times. Even when a safety program is thorough and properly conceived, lax administration renders it ineffective. P. Gioioso & Sons, Inc. v. OSHRC, 115 F.3d 100, 110-111 (1st Cir. 1997). Although the mere occurrence establish not safety violation does ineffective enforcement, Secretary of Labor v. Raytheon Constructors Inc., 19 O.S.H.C. 1311, 1314 (2000) the employer must show that it took adequate steps to discover violations of its work rules and an effective system to detect unsafe conditions for control. Secretary of Labor v. Fishel Co., 18 O.S.H.C. 1530, 1531 (1998). Failure to follow through and to require employees to abide by safety standards should be evidence that disciplinary action against disobedient employees progressed to provide designed punishment to levels See also, Secretary of Labor v. deterrence. Id. A&W Construction Services, Inc., 19 O.S.H.C. 1659, 1664 (2001); Secretary of Labor v. Raytheon Constructors Inc., 19 O.S.H.C. 1311, 1314 (2000). A disciplinary program consisting solely of verbal warnings is insufficient. Secretary of Labor v. Reynolds Inc., 19 O.S.H.C. 1653, 1657 (2001); Secretary of Labor v. Dayton Hudson Corp., 19 O.S.H.C. 1045, 1046 (2000). Similarly, disciplinary action that occurs long after the violation was committed may be found ineffective. (emphasis added)

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Complainant met the statutory burden of proof and established the serious classification of the violation at Citation 1, Item 1, by a preponderance of evidence.

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A potential unarrested fall involving lack of PPE or employee training in PPE use creates exposure to a substantial probability for death or serious injury.

When an employer furnishes or makes fall arrest PPE available for employee use, it bears the burden of training under the OSHA standards. There was no evidence employees subject of the inspection were protected or trained in the use and limitations of the furnished five point harness system to which they had access.

It is the decision of the NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD that a violation of Nevada Revised Statute did occur as to Citation 1, Item 1, 29 CFR 1910.132(f)(1)(iv). The violation, Serious classification and proposed penalty in the amount of THREE THOUSAND EIGHT HUNDRED TWENTY-FIVE DOLLARS (\$3,825.00) are confirmed approved.

The Board directs counsel for the complainant, CHIEF ADMINISTRATIVE OFFICER OF THE OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION, DIVISION OF INDUSTRIAL RELATIONS, to submit proposed Findings of Fact and Conclusions of Law to the NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD and serve copies on opposing counsel within twenty (20) days from date of decision. After five (5) days time for filing any objection, the final Findings of Fact and Conclusions of Law shall be submitted to the NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD by prevailing

counsel. Service of the Findings of Fact and Conclusions of Law signed by the Chairman of the NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD shall constitute the Final Order of the BOARD.

DATED: This <u>llth</u> day of April, 2014.

NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD

By /s/ JOE ADAMS, Chairman