NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD

2

1

3

4

5

6

7

8

9

10

11

12

13 14

15

16 17

18

19

2021

22

23

24

25

2627

28

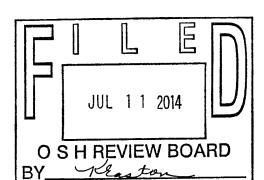
CHIEF ADMINISTRATIVE OFFICER
OF THE OCCUPATIONAL SAFETY AND
HEALTH ADMINISTRATION, DIVISION
OF INDUSTRIAL RELATIONS OF THE
DEPARTMENT OF BUSINESS AND
INDUSTRY,

Complainant,

VS.

NATIONAL PIPELINE CONTRACTORS, LLC,

Respondent.



Docket No. LV 14-1692

DECISION

This matter having come before the NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD at a hearing commenced on June 11 and 12, 2014, in furtherance of notice duly provided according to law, MS. SALLI ORTIZ, ESQ., counsel appearing on behalf of the Complainant, Administrative Officer of the Occupational Safety and Health Administration, Division of Industrial Relations (OSHA); and MR. DAVID STEPHENS, ESO., appearing on behalf of Respondent, National Pipeline Contractors, LLC.

Jurisdiction in this matter has been conferred in accordance with Chapter 618 of the Nevada Revised Statutes.

The complaint filed by the OSHA sets forth allegations of violation of Nevada Revised Statutes as referenced in Exhibit "A", attached thereto.

Citation 1, Item 1, charges a violation of 29 CFR 1926.21(b)(2). Complainant alleged the employer failed to instruct employees working in an excavation on recognition and avoidance of unsafe conditions and the regulations applicable to the work environment to control or eliminate any hazards or exposure to injury. The alleged violation was classified as "Serious" and a penalty proposed in the amount \$4,410.00.

Citation 1, Item 2, charges a violation of 29 CFR 1926.651(j)(2). Complainant alleged the respondent employer failed to protect employees from excavated or other materials that could pose a hazard by falling or rolling into excavations. On the date of inspection excavated material was located at the edge of an excavation approximately 10-1/2 feet above the bottom where an employee was operating hand-held compaction equipment. The violation was classified as "Serious" and a penalty proposed in the amount of \$2,520.00.

Citation 1, Item 3, charges a violation of 29 CFR 1926.652(a)(1). Complainant alleged respondent employees were not protected while working in an excavation from cave-ins by an adequate protective system designed in accordance with subparagraphs of the cited standard. The violation was classified as "Serious" and a penalty proposed in the amount of \$3,150.00.

Citation 2, Item 1, charges a violation of 29 CFR 1904.32(a)(1). Complainant alleged the employer did not verify log entries were complete and accurate as required by the standard. The violation was classified as "Other" and a zero penalty proposed. The subject violation was not contested and admitted by respondent.

Counsel stipulated to the entry of complainant Exhibits 1 through 3 and respondent Exhibits A-1 and B-1.

Counsel for complainant presented testimony and evidence through

Compliance Safety and Health Officer (CSHO) Jeffery Belcher. The witness identified and testified on exhibits admitted in evidence by stipulation of counsel. Mr. Belcher referenced the narrative report and described his investigation and findings at the respondent worksite located at Silverado Ranch Road in Las Vegas, Nevada. On September 13, 2013 CSHO Belcher observed excavations without shoring or cave-in protection, stored material ("spoils"), and respondent employees engaged in work preparing the area for installation of a storm drain.

CSHO Belcher took samples of the soils materials; one from the spoils pile near a portion of the storm drain excavation and another from the spoils pile in the area identified as the water vault excavation. He obtained the "boring logs" prepared from several excavation locations throughout the work site. (Exhibit 2) The logs included calculations of trench depths and soils consistencies. The collected soils tested by Nova Geological Inspection Services were reported as type A (loose) materials. (Exhibit 2, pages 88-92) Mr. Belcher confirmed the various trench depths at locations requiring cave-in protection under OSHA standards on the bases of his observations, photographs and employee interview statements.

During the "walk around" inspection, when asked by CSHO Belcher why spoils piles were not two feet back from the edge of the unshored ditch, superintendent Rumsey explained the loader cleaned up the area and pushed some material back near the edge of the excavation. He further stated the subject work was still in process.

When a hand-held compactor known as a "jumping jack" or "Wacker" was observed and photographed by CSHO Belcher in an unshored area of the excavation at a depth over five feet and showing evidence of recent compaction work, Mr. Rumsey stated ". . . there would be no reason for

the Wacker to be there without someone operating it. . .".

Upon CSHO inquiry to verify the trench depths, Mr. Rumsey stated an agreeable measurement for the deeper locations was 9-1/2 feet as measured from the bottom of the excavation to the first bench station. CSHO Belcher confirmed the trench depth where the "Wacker" was located was far in excess of the five foot requirement for cave-in protection or shoring and identified the photographic exhibit at page 74 in evidence.

During employee interviews on the day of the inspection, respondent foreman Mr. Fernando Torres admitted he observed an employee "running the Wacker today". Mr. Belcher testified there was no shoring in the excavation on the day of inspection as depicted in the photographic evidence. Mr. Torres conceded to CSHO Belcher the excavation should have been sloped better for cave-in protection.

Mr. Belcher interviewed three employees utilizing Spanish interpretation. He identified the interview statements in his report signed by respondent employees # 1, 2 and 3 in evidence at Exhibit 1, pages 18-20. Employee # 1 stated the excavation should have had "... a wider bench and there was never shoring in the trench where the employees were working . . ". Employee # 1 further stated the "trench is above my head . . . I am about 5'7" . . . the bench is no good . . . I received training with other companies but not this one . . . they rely solely on if you have an OSHA card - if you have it - you are trained . . ".

Employee # 2 stated "We are supposed to have a wider bench . . . I know it's not right . . . ". He further stated "there was never any shoring in the trench . . . today was the only day employees were in the trench . . . National Pipeline (respondent) hasn't trained me . . . I get

OSHA 10 hour training . . . no training on how to dig a trench . . .".

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

1.8

19

20

21

22

23

24

25

26

27

28

Employee # 3 stated he was operating the compactor "Wacker" in the excavation for about ½ hour but only "15 minutes where it was deep". He further stated "there hasn't been any protection or shoring . . . I had previous training for this type work . . .".

CSHO Belcher testified with regard to the photographs at Exhibit 1, pages 70-87 and explained the depictions confirm his observations of violative unprotected conditions at the excavation site under the OSHA standards.

Mr. Belcher testified the three employee interview statements confirmed they had not been instructed by respondent as required under testified their interview standard. also Не the cited responses demonstrated inability to "identify or explain . . . the OSHA cave-in protection requirements . . . " and verified their exposure to hazardous conditions of working in unprotected excavations. respondent was unable to provide OSHA any competent evidence to confirm, verify or support employee excavation safety instruction training as required under the cited OSHA standards.

Mr. Belcher testified with regard to the serious classifications of the violations and the ratings for severity, probability and gravity calculated in accordance with the operations manual and based upon the factual evidence found from his investigation. He identified Exhibit 1, photographs 79a and 80 depicting the excavation vertical walls without shoring. Photograph 74 depicts the "Wacker" in the trench, although no employee operating same, but showing the results of compacting work performed at a depth in the excavation beyond five feet without cave-in protection. He testified the observed violative conditions were supported by the pictorial evidence of work, the

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

statement of Mr. Rumsey indicating an employee had to be there operating the Wacker, the statement of Mr. Torres and the employee #3 interview statement that he operated the Wacker in the unshored area for "15 minutes" the day of the inspection. He testified respondent employee(s) had been working in the excavation and exposed to the hazards of cave-in without the OSHA required protection. Mr. Belcher further testified the respondent employees working on the excavation site, as well as subcontractor employees working in the "water vault" area were constructively exposed to the violative conditions through the rule of hazard access.

On cross-examination CSHO Belcher admitted he did not observe an employee operating the "Wacker". He also confirmed the excavation logs showed trench depths varied throughout the length of the excavation. He also explained his private part-time job as an estimator for competitive underground contractors and testified it did not cause or create any prejudice on his part toward the respondent.

CSHO Belcher concluded his testimony describing the dangers of serious injury or death that could result from a cave-in of the excavation without protection, the potential for falling spoils material near the trench edge onto employees and the hazard exposures to employees working in excavations without instructional training.

Respondent presented witness testimony and referenced Exhibits A and B.

Mr. Jeremie Rumsey identified himself as the respondent project manager and superintendent at the time of the inspection. He identified the company training program, the level of training expected from employees upon hire and the instructional training details of the "weekly safety tailgate meetings". He testified the weekly safety

meetings are given in English and Spanish every Friday, as a rule, at the job site. He described the lengthy safety policies read to the employees at each meeting which lasted for approximately 15-20 minutes; and video presentations ". . . given in the office when available". Mr. Rumsey stated that all the employees interviewed by the CSHO had attended the weekly tailgate safety meetings, ". . . signed the attendance sheets and saw videos . . ".

Mr. Rumsey testified Exhibit 1, pages 70-75A, depicts the bench and spoils near the edge. He explained the loader was engaged in cleanup work so the materials shown were "in process" of being removed from near the edge. He described the "Wacker" placement as ". . . typically set in the trench by a machine . . . does not require an employee standing inside the trench to detach . . . where it was photographed because of a J hook and rigging." He testified Exhibit 1, pages 86-87A, does not depict any employee exposure; "I never saw an employee in the trench with the Wacker".

Mr. Rumsey testified he classified the excavated material as **type B** soil by performing a "hand test". Further, there were no employees in the water vault excavation area of the trench ". . . on the day of the inspection".

On cross-examination Mr. Rumsey identified respondent's Exhibit B, page 17, as the company safety plan in English, and testified it is also provided in the Spanish language. He admitted the attendance verifications at Exhibit B were not signed by the three (3) Spanish speaking employees interviewed by the CSHO at Exhibit 1, pages 18-20. During continued cross-examination Mr. Rumsey testified he could not provide documentary evidence on training in the Spanish language nor any given to Spanish speaking employees to support his testimony. He

admitted there were no videos presented in the Spanish language. Mr. Rumsey testified the company foreman would translate the English training presentation at the tailgate meetings into Spanish, but had no documentary evidence or other support for his testimony.

In continued cross-examination, Mr. Rumsey admitted the "spoils . . . were too close to the edge . . . but the loader . . . was in process of removing them . . . and some of that . . . spilled off during the cleaning . . . ".

When questioned on his reported statement to CSHO Belcher during the investigation at the time they both observed the Wacker in the deep (beyond five feet) unshored area of the trench, Mr. Rumsey testified the location of the Wacker does not mean employees were working there. He admitted employees were working the Wacker in the shallow area but could not explain the depicted Wacker location in the deep (beyond five feet) area. He testified the photographs depicting compacted soil near the Wacker in the deep area were not evidence of unprotected employee work. Mr. Rumsey also testified respondent had no responsibility for employees of other employers working in the water vault excavation area, but admitted the respondent dug the trench where the subcontractor employees had been working. He testified the respondent was not responsible nor in control of that area because it had been ". . . turned over to another subcontractor for its . . . (trade) work . . . ".

At the conclusion of evidence and testimony, both counsel presented argument.

Complainant argued there was overwhelming evidence to prove the cited violations. There were "multiple pictures" of the cited violative working conditions, all supported by the sworn testimony of CSHO Belcher and other documentary evidence. At Citation 1, Item 1, counsel asserted

that while there was some employee training and a company safety program, the evidence proved the respondent simply did not do what the company policies or OSHA required. There was no evidence of training for the three (3) interviewed Spanish speakers, nor any corroboration to support the testimony of Mr. Rumsey. There were three (3) written employee witness statements establishing none received the required training. The three (3) employees could not explain the excavation safety requirements, nor demonstrate to CSHO Belcher an understanding of the protections needed. There were no typical attendance verifications signed by the three (3) Spanish speaking employees interviewed to substantiate they had been trained on the excavation safety work as claimed by Mr. Rumsey.

Counsel argued the photographs clearly demonstrate the spoils materials near the edge to establish the violation at Citation 1, Item 2. Mr. Rumsey's statement that the company was in the "process" of moving same is not a defense. The violative conditions existed.

Respondent employees were working in an unprotected excavation subject to cave in hazards as the photographic evidence shows vertical walls, depths exceeding five feet, recent employee work performance and no shoring in place. The sworn testimony and observations of the trench depths by Mr. Belcher, the photographs in evidence, the witness statements of three (3) employees working in the excavation, the testimony of Messrs. Rumsey and Torres, and the boring log excavation data all corroborate and support the sworn CSHO testimony of the violative conditions.

Counsel argued the testimony of Mr. Rumsey was simply not credible. With regard to the employee training, he could not explain or support his testimony that he read lengthy materials every week but meetings

lasting only 15-20 minutes. The Spanish speaking employees interviewed did not sign attendance verifications of the tailgate meetings yet many other employees did sign. The company had a training program but it did not effectively communicate or implement the program. Mr. Rumsey's testimony on the Wacker location in the deep unshored area of the excavation after he admitted his statement to CSHO Belcher of needing someone to run it, were simply not credible. Mr. Rumsey admitted the violation of the spoils near the edge; his claim, without any evidence, of work "in process" is no defense.

As to the excavation protection in general, the respondent "created" the hazardous excavation conditions and was the "controlling employer" responsible for installing shoring or other cave-in protection. The respondent employees, as well as employees of the subcontractor, were constructively exposed to the hazards of working in unprotected excavation areas based upon recognized OSHA law through the rule of access.

Respondent presented closing argument. At Citation 1, Item 1, counsel asserted the company had a training program and the employees possessed a reasonable use of the English language to understand the training materials. He asserted all three employee witnesses who signed the statements referenced by CSHO Belcher had OSHA 10 cards, and one indicated that he had "some (excavation safety) training". He argued there was no preponderant evidence to find they had "no training" as charged. "There is no requirement for perfect training." The company checked and all the employees had OSHA 10 cards so the employees did have "some . . . instruction . . . on how to recognize and avoid unsafe working conditions in an excavation . . .".

At Citation 1, Item 2, counsel argued the loader was moving dirt

away from the edge and there was no evidence the employees were in the trench area when spoils were near the edge. The photograph only depicts an employee farther away in a "safe area . . . someone was operating a compactor and there was no evidence of that occurring at the time . . . ".

2

3

4

5

6

8

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

At Citation 1, Item 3, counsel argued the employee witness statement Exhibit 1, page 20 reflects ". . . the Wacker was only operated for ½ hour but there was no evidence the employee was in the deepest area of the trench over five foot at that time . . . rather than a safe area . . . but even if he was . . . the guy was in there at most 15 minutes . . . so that should be taken into consideration."

The board in reviewing the facts, documents and testimony in evidence must measure same against the established law developed under the Occupational Safety & Health Act, Code of Federal Regulations (CFR) and Nevada Revised Statutes (NRS).

In all proceedings commenced by the filing of a notice of contest, the burden of proof rests with the Administrator. N.A.C. 618.788(1).

All facts forming the basis of a complaint must be proved by a preponderance of the evidence. Armor Elevator Co., 1 OSHC 1409, 1973-1974 OSHD $\P16,958$ (1973).

To prove a violation of a standard, the Secretary <u>applicability</u> the of (1) the establish noncomplying the existence οf standard, (2) conditions, (3) employee exposure or access, and (4) that the employer knew or with the exercise of reasonable diligence could have known of violative condition. (emphasis added) See Belger Cartage Service, Inc., 79 OSAHRC 16/B4, 7 BNA OSHC 1233, 1235, 1979 CCH OSHD ¶23,400, p.28,373 (No. 76-1948, 1979); Harvey Workover, Inc., 79 OSAHRC 72/D5, 7 BNA OSHC 1687, 1688-90, 1979 CCH OSHD 23,830, pp. 28,908-10 (No. 76-1408, 1979); American Wrecking Corp. v. Secretary of Labor, 351 F.3d 1254, 1261 (D.C. Cir. 2003). (emphasis added)

A respondent may rebut allegations by showing:

1. The standard was inapplicable to the situation at issue;

2.2

2. The situation was in compliance; or lack of access to a hazard. See Anning-Johnson Co., 4 OSHC 1193, 1975-1976 OSHD ¶ 20,690 (1976). (emphasis added)

A "serious" violation is established upon a preponderance of evidence in accordance with NRS 618.625(2) which provides in pertinent part:

employment if there is a substantial probability that death or serious physical harm could result from a condition which exists or from one or more practices, means, methods, operations or processes which have been adopted or are in use at that place of employment unless the employer did not and could not, with the exercise of reasonable diligence, know the presence of the violation. (emphasis added)

The testimony of CSHO Belcher was credible and supported by the photographs and documentary evidence to establish the elements to prove violations of the cited standards.

The evidence demonstrated applicability of the excavation protection and training standards to the subject worksite as observed and photographed. Non-complying violative conditions were established by the photographs, documents, and witness statements in evidence and the credible CSHO testimony. Employee exposure was demonstrated directly through the evidence including witness statements, photographic exhibits and testing; but also constructively from the unrefuted work site conditions through the rule of access. Constructive employer knowledge of the violations is imputed to the respondent employer through supervisory personnel Messrs. Rumsey and Torres on the site.

In addition to the unrebutted **non-compliant conditions** as demonstrated through the evidence admitted including the photographs,

documentary exhibits, and credible CSHO testimony, the violative conditions were subject to **plain view** by superintendent Rumsey and foreman Torres. Accordingly, the employer is charged with lawful knowledge; it knew or should have known of the violations through reasonable diligence.

The employee statements, the observations of CSHO Belcher, the photographic exhibits and the investigation report established proof of direct and constructive employee exposure. The respondent provided no evidence to rebut the statements of the three employees, and/or testimony of CSHO Belcher with regard to Citation 1, Item 1 as to training.

Three (3) employees admitted they had no respondent employer training. The employer could not rebut the statements or produce any competent credible evidence of the subject employees training. Yet it produced "typical attendance" verification records for its other employees.

At Citation 1, Item 2, the photographs and admissions established spoils materials near the trench edge. At Citation 1, Items 2 and 3, it was unrefuted the excavation clearly was not protected from cave—in by shoring or other means. The respondent admitted that the loader was moving materials from the edge or in process but that does not negate the hazard exposure nor establish a defense to the violative conditions in accordance with the specific terms of the cited standard. The potential for injury to the employees was demonstrated by the preponderant evidence of the conditions at the site and CSHO testimony. Further, it can be inferred from the evidence that work was underway in an unprotected area of the trench where an employee was operating a compactor which supports the witness statements and foreman admissions

provided to the CSHO at the time of the investigation. The respondent provided no credible or competent rebuttal evidence and in fact admitted the violative conditions explaining only the required protective work was "in process".

At Citation 1, Item 3, there was no shoring where an employee admitted he was operating the Wacker compaction work results were shown in the photographs. Respondent counsel ultimately admitted the violation explaining it only occurred briefly. Three employees admitted, and the photographs clearly showed, there was no cave-in protection in place.

The Wacker had to be operated by an employee as indicated by Mr. Rumsey. The work area around the Wacker showed compacting had been done. Employee number 3 represented he operated the Wacker on the day of inspection for approximately 15 minutes. The trench was over the height of a 5'7" man subject of employee interviews and the pictorial evidence. The Wacker was photographed near the center of the trench with no reasonable explanation of how it was placed there without an employee in the trench. The respondent admitted the employee was in the unprotected area of the trench but **for only a brief time**.

The respondent employer knew, or with the exercise of reasonable diligence, could have known of the violative conditions. All of the violations occurred in plain view, and under the supervision of company superintendent Rumsey and foreman Torres.

In general, the actual or constructive knowledge of a supervisory employee will be imputed to the employer, and thus constitute a prima facie showing of employer knowledge. Where supervisory knowledge can be imputed, OSHA need not also show that there were deficiencies in the employer's safety program. Halmar Corp., 18 OSH Cases 1014, 1016-17 (Rev. Comm'n 1997), aff'd on other grounds, 18 OSH Cases 1359 (2d Cir. 1998). But see L.R. Willson & Sons

Inc. v. OSHRC, 134 F.3d 1235, 1240-41, 18 OSH Cases 1129 (4th Cir. 1998), and cases cited therein at footnote 31. Occupational Safety and Health Law, $2^{\rm nd}$ Ed., Rabinowitz at page 87. (emphasis added)

". . . (A) supervisor's knowledge of deviations from standards . . . is properly imputed to the respondent employer . ." Division of Occupational Safety and Health vs. Pabco Gypsum, 105 Nev. 371, 775 P.2d 701 (1989). (emphasis added)

The testimony of Mr. Rumsey was equivocal and not competent credible evidence. The testimony did not rebut the preponderant evidence of violations with regard to a lack of training for three exposed employees, the areas of employee work in the unprotected excavation deeper than five feet or when operating the Wacker, and that spoils materials depicted in the photographs with no equipment operating were simply in the process of being removed so not considered a violation.

Notably with regard to the training issue, there was no competent or credible evidence presented that Spanish speaking employees were provided meaningful training to rebut the three subject Spanish employee witness statements or investigative findings by CSHO Belcher.

Evidence that the employer **effectively communicated** and enforced safety policies to protect against the hazard permits an inference that the employer justifiably relied on its employees to comply with the applicable safety rules and that violations of these safety policies were not foreseeable or preventable. Austin Bldg. Co. v. Occupational Safety & Health Review Comm., 647 F.2d 1063, 1068 (10th Cir. 1981). (emphasis added)

When an employer proves that it has effectively communicated and enforced its safety policies, serious citations are dismissed. See Secretary of Labor v. Consolidated Edison Co., 13 O.S.H. Cas. (BNA) 2107 (OSHRC Jan. 11, 1989); Secretary of Labor v. General Crane Inc., 13 O.S.H. Cas. (BNA) 1608 (OSHRC Jan. 19, 1988); Secretary of Labor v. Greer Architectural Prods. Inc., 14 O.S.H. Cas. (BNA) 1200 (OSHRC July 3, 1989). (emphasis added)

Respondent superintendent Rumsey was in **control** of the job site and the excavation operations. Under well established Occupational Safety and Health Law, "... liability is imposed ... on a contractor who **creates a hazard or who has control** over the condition on a multiemployer worksite ...". See, Brennan v. OSHRC (Underhill Construction Corp.), 513 F.2d 1032 (2nd Cir. 1975). The commission and courts have recognized that protection from hazard exposure to employees is the responsibility of the employer and confirmed that "... policy is best effectuated by placing responsibility for hazards on those who create them." Responsibility remains with the respondent who **created and/or controlled** the conditions at the worksite.

The preponderance of evidence establishes the cited violations, of the standards, the serious classifications, and the reasonableness of the proposed penalties.

It is the decision of the **NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD** that violations of Nevada Revised Statute did occur as to Citation 1, Item 1, 29 CFR 1926.21(b)(2); Item 2, 29 CFR 1926.651(j)(2); Item 3, 29 CFR 1926.652(a)(1). The violation classifications of Serious are confirmed and the proposed penalties in the total sum of TEN THOUSAND EIGHTY DOLLARS (\$10,080.00) approved.

It is the further decision of the **NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD** that a violation of Nevada Revised Statute did occur
as to Citation 2, Item 1, 29 CFR 1904.32(a)(1), the violation
classification of Other with no proposed penalty.

The Board directs counsel for the complainant, CHIEF ADMINISTRATIVE OFFICER OF THE OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION, DIVISION OF INDUSTRIAL RELATIONS, to submit proposed Findings of Fact and Conclusions of Law to the NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW

BOARD and serve copies on opposing counsel within twenty (20) days from date of decision. After five (5) days time for filing any objection, the final Findings of Fact and Conclusions of Law shall be submitted to the NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD by prevailing counsel. Service of the Findings of Fact and Conclusions of Law signed by the Chairman of the NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD shall constitute the Final Order of the BOARD.

DATED: This 11th day of July, 2014.

NEVADA OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD

By/s/
JOE ADAMS, Chairman